



UMO 1521.1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of James T. English et al.

Art Unit: 1646

Application Number: 09/829,549

Filed: April 10, 2001

For: PHAGE DISPLAY SELECTION OF ANTI FUNGAL PEPTIDES

Confirmation No. 8198

July 6, 2001

STATEMENT UNDER 37 C.F.R. 1.825(b)

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Dear Madam:

In accordance with 37 C.F.R. 1.825(b), I hereby state that the information recorded in substitute computer readable form is identical to the substitute written sequence listing submitted in support of the present application. The sequences in the submission are the same as those disclosed, in non-complying form, in the application as originally filed. Thus, these sequences add no new matter to the specification.

Respectfully submitted,

Jennifer L. Wagner, Paralegal
SENNIGER, POWERS, LEAVITT & ROEDEL
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(314) 231-5400

Express Mail Label No. EL002945241US

KS

07-09-01

Secretary
Box 1509

FEE TRANSMITTAL

Application Number 09/829,549
Filing Date April 10, 2001
Confirmation No. 8198
Inventor(s) James T. English et al.
Group Art Unit 1646
Attorney Docket Number UMO 1521.1



METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☐ BASIC FILING FEE Subtotal (1) \$ _____
(Type: _____)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ _____
- Total Claims _____
Independent Claims _____
Multiple Dependent Claims _____
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 65.00
- ☒ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 65.00

Karen Y. Hui, Reg. No. 44,785

Date July 6, 2001

KYH/lds

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/829,549	04/10/2001	James T. English	UMO 1521.1

000321

SENNIGER POWERS LEAVITT AND ROEDEL
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16TH FLOOR
ST LOUIS, MO 63102

CONFIRMATION NO. 8198

FORMALITIES LETTER



OC00000006081875

Date Mailed: 05/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/16/2001 TZZCHAM1 00000054 09829549

FILED UNDER 37 CFR 1.53(b)

00 106000

65.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

A handwritten signature in cursive script, appearing to read "Nan", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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Application of James T. English et al.
Serial No. 09/829,549
Filed April 10, 2001
Confirmation No. 8198
For PHAGE DISPLAY SELECTION OF ANTI FUNGAL PEPTIDES

July 6, 2001

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

* In response to the "Notice to File Missing Parts of Application - Filing Date
* Granted," dated May 16, 2001, enclosed is applicants signed Declaration and Power of
* Attorney in the above-referenced application. A copy of the Notice is returned herewith.

* Also enclosed is a check in payment of the surcharge.

* Also enclosed is a copy of the substitute Sequence Listing and a Statement
under 37 C.F.R. 1.825(b).

Respectfully submitted,

Karen Y. Hui, Reg. No. 44,785
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Box Missing Parts